PTO/SB96 (19-0s)
Approved for use through 03/31/2007. Oaks 0961-301
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	STATEMENT UNDER 37 CFR 3.73(b	D)
Applicant/Patent Owner: BARNETT et al.		
Application No./Patent No.: 10/571,882	Filed/Issue Date: [DECEMBER 12. 2006
Entitled: COMBINATION APPROA	ACHES FOR GENERATING IMMUNE F	RESPONSES
THE GOVERNMENT OF THE UNITED S HUMAN SERVICES, NATIONAL INSTITU A GOVERNMENT AGENCY	BTATES OF AMERICA, AS REPRESENTED UTES OF HEALTH, OFFICE OF TECHNOL	OGY TRANSFER
(Name of Assignee)	(Type of Assignee, e.g. corporation, part	nership, university, government agency, etc.)
states that it is: 1. the assignee of the entire right, title 2. an assignee of less than the entire (The extent (by percentage) of its in the patent application/patent identified	e right, title and interest ownership interest is <u>50</u> %)	
A. An assignment from the inventor(s in the United States Patent and Tr thereof is attached.	s) of the patent application/patent identified a rademark Office at Reel, Fran	above. The assignment was recorded ne, or for which a copy
OR B. A chain of title from the inventor(s)	s), of the patent application/patent identified	above, to the current assignee as follows:
To: The Government of the Uni Human Services, National Institu The document was recorde	oman, Bo Peng, and Marjorie Robert-Guroff ited States of America as represented by thr utes of Health, Office of Technology Transfe di in the United States Patent and Trademar e 0200, or for which a copy	e Secretary of the Department of Health and er rk Office at
From: The document was recorde Reel, Frame	To: ed in the United States Patent and Trademan e, or for which a copy	rk Office at thereof is attached.
3. From:	To: ad in the United States Patent and Trademan e, or for which a copy	rk Office at
Additional documents in the cha	ain of title are listed on a supplemental sheet	t.
or concurrently is being, submitted for rec [NOTE: A separate copy (i.e., a true Division in accordance with 37 9FR Part	copy of the original assignment document(s 3 to record the assignment in the records of	s)) must be submitted to Assignment of the USPTO. <u>See</u> M.P.E.P. § 302.08]
1 fished		13 Nov 2000
	Signature Micha & Shmicavi GH	301 435 5019
Pri Sc to	Signature Mirths& Strucovicff inted or Typed Name I (15 Specially Title	Telephone Number
	Title	_

STATEMENT UNDER 37 CFR 3.73(b)		
Applicant/Patent Owner: BARNETT et al.		
Application No./Patent No.: 10/571.882 Filed/Issue Date: DECEMBER 12, 2006		
Entitled: COMBINATION APPROACHES FOR GENERATING IMMUNE RESPONSES		
NOVARTIS VACCINES AND DIAGNOSTICS. INC. a CORPORATIQName of Assignmen. (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)		
states that it is: 1. the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is 50%)		
in the patent application/patent identified above by virtue of either:		
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.		
OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:		
1. From: Susan Barnett, Ying Lian, and J.K. Srivastava To: Chiron Corporation The document was recorded in the United States Patent and Trademark Office at Reel 016907, Frame 0929, or for which a copy thereof is attached.		
From: <u>Chiron Corporation</u> To: <u>Novarris Vaccines and Diagnostics, Inc.</u> The document was recorded in the United States Patent and Trademark Office at Reel <u>021315</u> Frame <u>0331</u> of or which a copy thereof is attached.		
To: The document was recorded in the United States Patent and Trademark Office at		
Reel, Frame, or for which a copy thereof is attached.		
Additional documents in the chain of title are listed on a supplemental sheet.		
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee		
was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e. a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See M.P.E.P. § 302.08]		
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.		
A COS UUNGI BOOK		
A SSISTANT SECRETARY NOVARTIS VACCINES AND Tile Diagnostrics, Two.		
Printed or Typed Name Telephone Number		
ASSISTANT SECKETARY, NOVARTIS VACCINES AND		
Title DiAGNUSTRIS, INC.		